

NEW APPLICATION TRANSMITTAL

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to **Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450** on *September 24, 2003* under "EXPRESS MAIL" mailing label number EL 989118246 US.

*Elizabeth A. VanAntwerp*  
Elizabeth A. VanAntwerp

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Transmitted herewith for filing is the patent application of:

Inventor 1: Shinsuke NIIYAMA  
Address: c/o Yokohama Works of Sumitomo Electric Industries, Ltd.  
1, Taya-Cho, Sakae-Ku  
Yokohama-Shi, Kanagawa-Ken 244-8588  
Japan

Inventor 2: Yukihiro YOKOMACHI  
Address: c/o Yokohama Works of Sumitomo Electric Industries, Ltd.  
1, Taya-Cho, Sakae-Ku  
Yokohama-Shi, Kanagawa-Ken 244-8588  
Japan

Inventor 3: Hiroki ITAKURA  
Address: c/o Tokai Rubber Industries, Ltd.  
1, Higashi 3 Chome  
Komaki-shi, Aichi-ken 485-8550  
Japan

Title: OPTICAL FIBER ARRAY AND SUBSTRATE FOR THE OPTICAL FIBER ARRAY

1. Papers enclosed

A. Required (in *Japanese*) for filing date under 37 CFR 1.53(b) (Regular) are:

22 Page(s) of Specification  
5 Page(s) of Claims  
1 Page(s) of Abstract  
7 Sheets of Formal Drawings (Figs. 1A-12)

B. Other Papers Enclosed

☒ A Patent Application Bibliographic Data Sheet  
☐ A Preliminary Amendment  
☒ An Information Disclosure Statement  
☒ PTO Form-1449

## 2. Additional Papers to Follow Under Separate Cover

☒ A combined Declaration and Power of Attorney

☒ A Submission of Certified Copies of Priority Documents and certified copies of:

<u>Country</u>	<u>Application Number</u>	<u>Filing Date</u>
Japan	2002-278308	September 25, 2002
Japan	2002-278386	September 25, 2002

☒ An Assignment Transmittal and Assignment of the invention to:

Sumitomo Electric Industries, Ltd.  
5-33, Kitahama 4-Chome, Chuo-Ku  
Osaka-Shi, Osaka-Fu 541-0041  
Japan

and

Tokai Rubber Industries, Ltd.  
1, Higashi 3 Chome  
Komaki-shi, Aichi-ken 485-8550  
Japan

3. The correspondence address for this application is: Customer No. 025191

Telephone: (315) 233-8300  
Facsimile: (315) 233-8320

Electronic Mail: [Ivanantwerp@burrandbrown.com](mailto:Ivanantwerp@burrandbrown.com)

## 4. Nonpublication Request Under 35 U.S.C. 122(b)(2)(B)(i)

☐ Applicants hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

☐ Applicants hereby request that the attached application **not** be published under 35 U.S.C. 122(b).

**5. The filing fee has been calculated as shown below:**

<b>Basic Filing fee</b> (37 CFR §1.16(a))				\$ 750.00
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total Claims	17 - 20 =	0	× \$ 18.00	
Independent Claims	6 - 3 =	3	× \$ 84.00	\$ 252.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$280.00	
<b>Surcharge fees</b> (37 C.F.R. 1.16(e)--\$130.00)				
<input checked="" type="checkbox"/> late payment of filing fee <i>and/or</i>				\$ 130.00
<input checked="" type="checkbox"/> late filing of original declaration or oath				
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$ 1,132.00
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				
<b>SUBTOTAL =</b>				\$ 1,132.00
<input checked="" type="checkbox"/> Fee for processing an application filed with a specification in a non-English language (37 C.F.R. 1.17(i) and 1.52(d)--\$130.00)				\$ 130.00
<input checked="" type="checkbox"/> Assignment Fee (37 CFR §1.21(h)--\$40.00)				\$ 40.00
<b>TOTAL FEES DUE =</b>				\$ 1,302.00

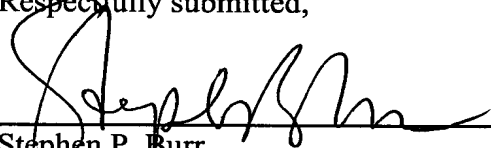
**6. Payment of Fees and Authorization to Charge Additional Fees or Credit Overpayment**

- ☐ A check in the amount of \_\_\_\_\_ is enclosed.
- ☐ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1446. A duplicate copy of this sheet is enclosed.
- ☐ Any additional filing fees required under 37 CFR 1.16.
- ☐ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

September 24, 2003

Date

  
 Stephen P. Burr  
 Reg. No. 32970

SPB/eav

BURR & BROWN  
 P.O. Box 7068  
 Syracuse, NY 13261-7068

Customer No.: 025191  
 Telephone: (315) 233-8300  
 Facsimile: (315) 233-8320